



## *3MT convection: historical evolution.*

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*Radostovice Training Course, 2007-03-29.*

## Summary

- Introduction: why do we need to parameterize convection?
- Convection: positive feedbacks, instability, predictability. Historical evolution of our understanding of convective processes.
- Problems in parameterizations (2003).



**Why do we need to  
parameterize  
convection?**

**Until when?**

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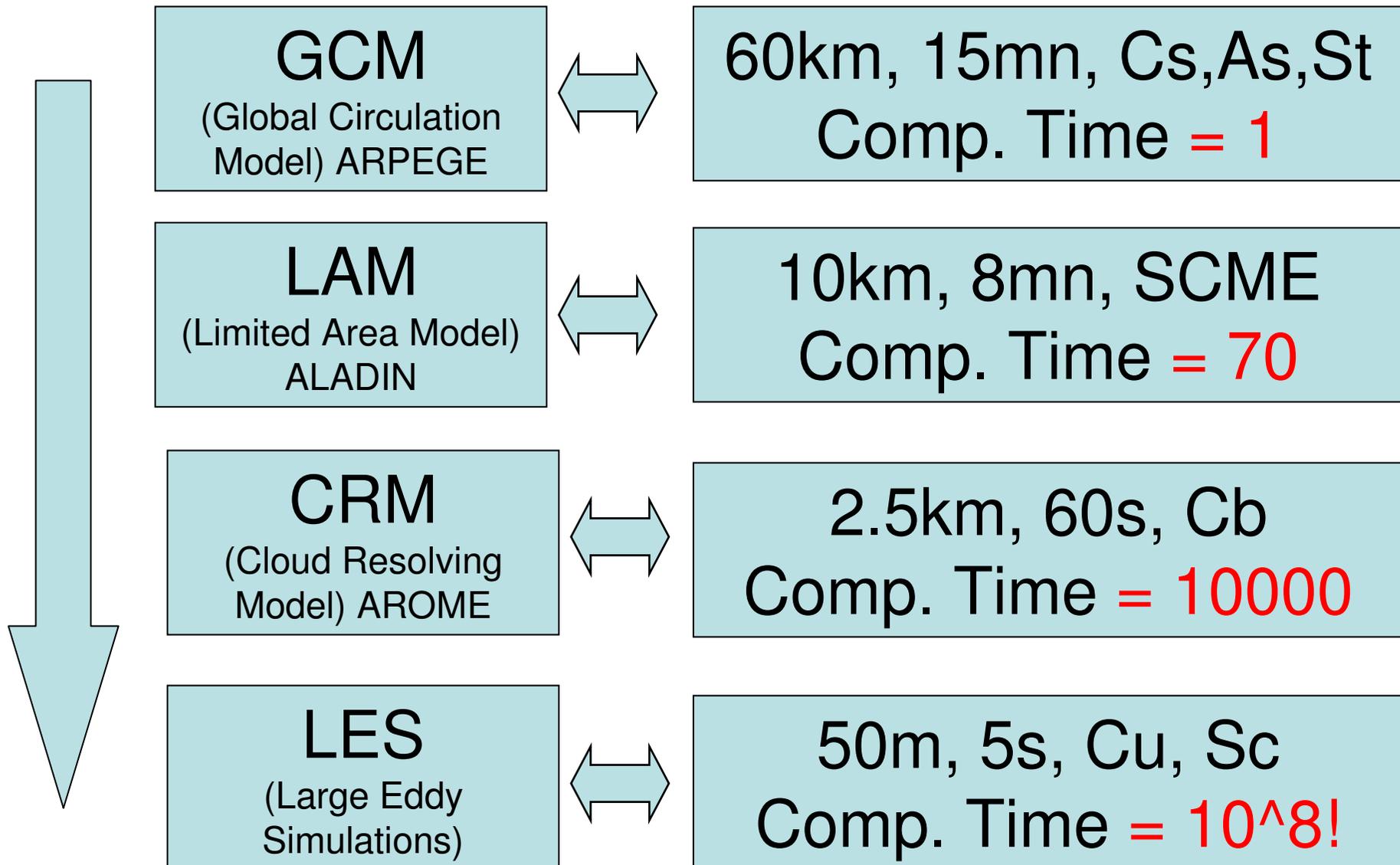
Motivation

# Convection, a subgrid-scale phenomenon



## Motivation

### Subgrid-scale convection - Computation time



## Motivation

# Convection: a challenge for our understanding faculties

Local source of convective motions: buoyancy, Archimedes (287 av. J.C.).



Mean effect of an ensemble of convective updrafts and downdrafts, each driven by the buoyancy force: still a young subject, 2000 years after Archimedes!

Parameterize = simple concept =  
theorize = understand.



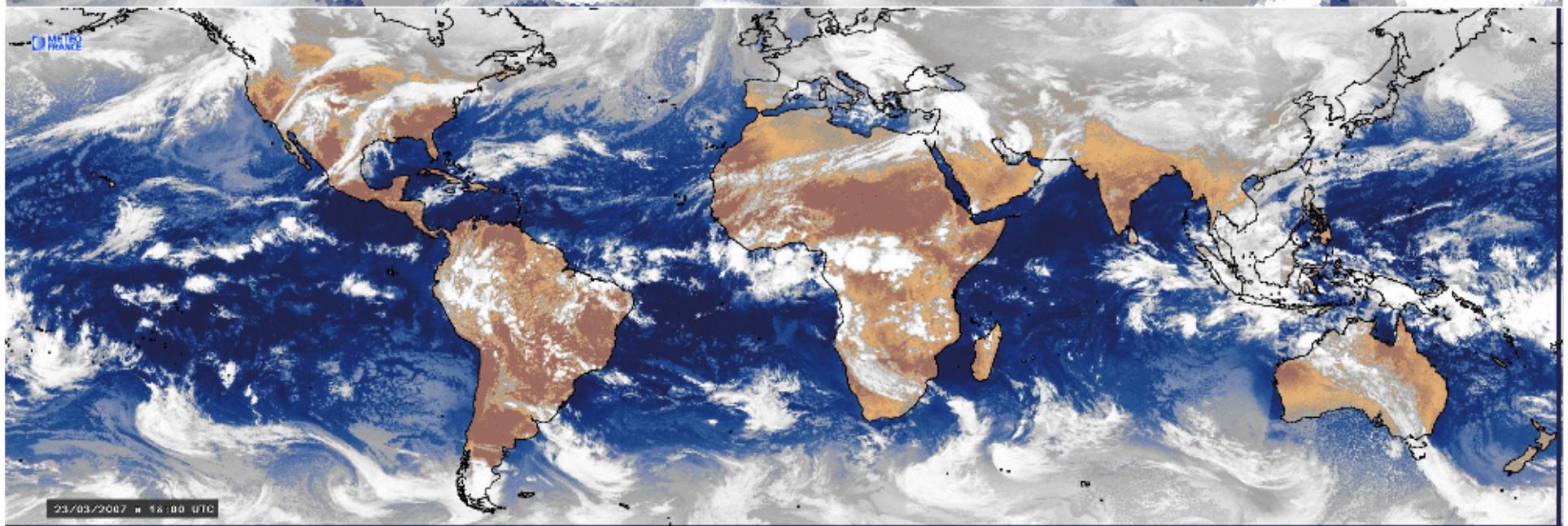
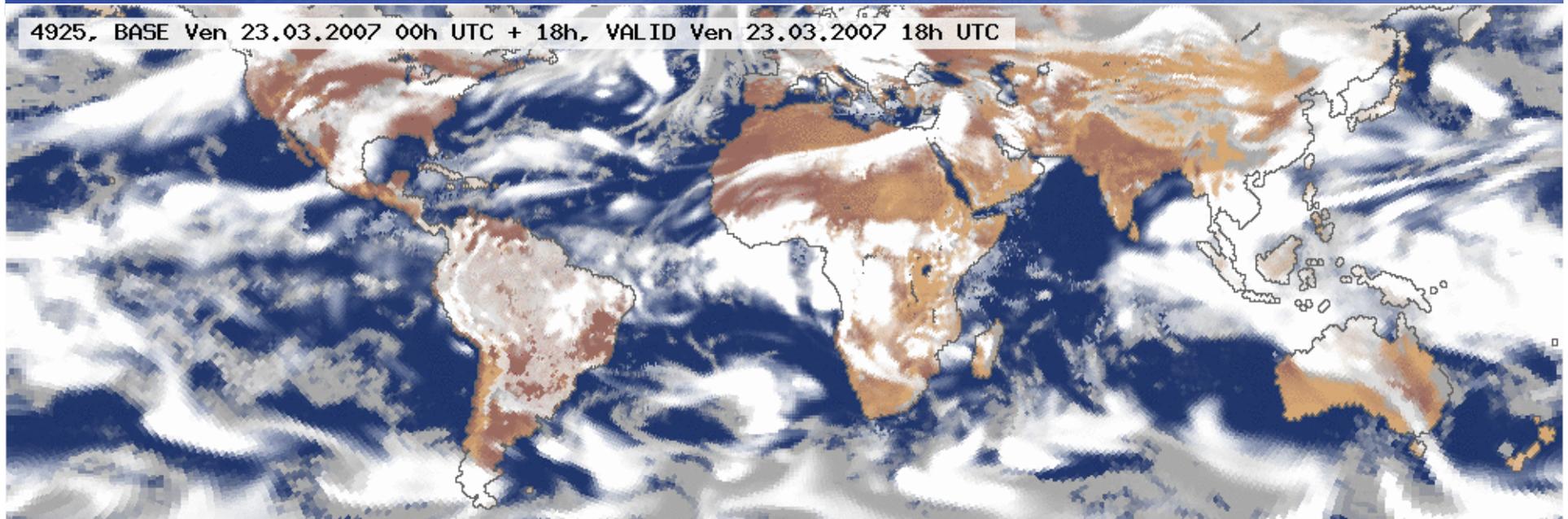


**Convection: prediction  
quality? Positive  
feedbacks?**

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# Quality: Midlatitudes vs Tropics

4925, BASE Ven 23.03.2007 00h UTC + 18h, VALID Ven 23.03.2007 18h UTC



## Quality: Midlatitudes vs Tropics

Predictions are more accurate at Midlatitudes than in the Tropics:

- Coriolis force → horizontal stabilization.
- Tropics: the major process is convection.

Convection (at all latitudes) → difficult to predict (more difficult to predict, in general, than baroclinic instability).

# Link predictability ⇔ involved processes

- Quality



- Predictability



+ Sensitivity to initial conditions



+ Instability



+ Positive feedbacks

# Convection: instabilities

Convection: 5 instabilities:

- CAPE.
- CISK.
- WISHE.
- Saturation deficit.
- Cold pools.

## Convective concepts

**CIFK**: Conditional Instability of the First Kind:  
« Precipitating convection is driven by vertical moist instability ». **Energie source**: **CAPE**: Convective Available Potential Energy.

CIFK is a 1D process: no horizontal circulation taken into account.

*Archimedes (287 av. JC), Espy (1841)*

Lifting → Buoyancy → Upward force → Lifting.

## Convective concepts

**CISK**: Conditional Instability of the Second Kind:

« Precipitating convection is driven by low level's dynamical moistening » **Energy source**:  $L^*$  water vapour tendency due to humidity convergence.

CISK is a 2D or 3D process: the positive feedback involves horizontal circulation.

*Charney, Eliassen, Kuo, Ooyama (1960-1970), GATE (1974), Bougeault (1985), ...*

Dynamical convergence → available water vapour → condensation → differential heating → dynamical convergence.

## Convective concepts

**WISHE**: *Wind Induced Surface Heat Exchange*:  
« Convection is driven by physical low level's  
moistening » **Energy source**:  $L^*$  surface evaporation.

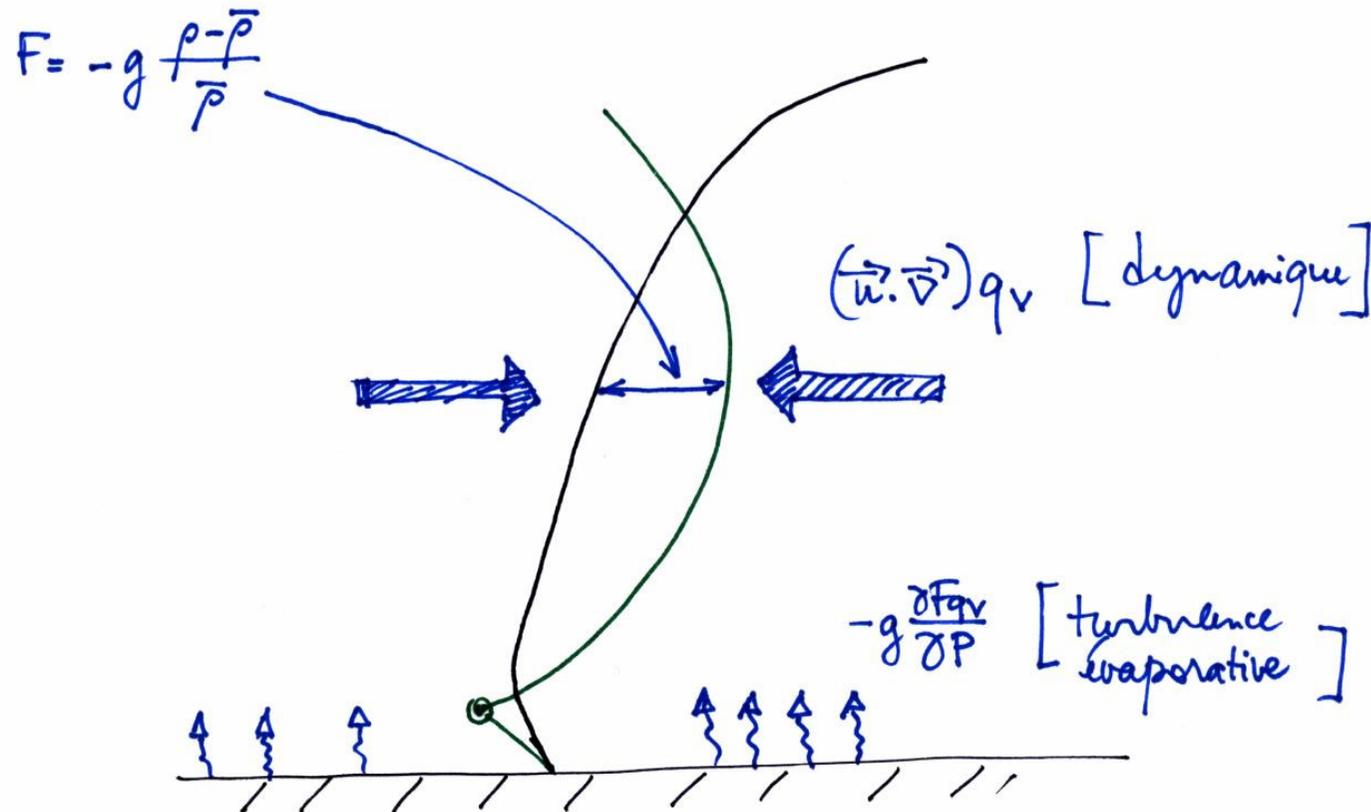
Important role in polar lows and tropical cyclones: WISHE  
is also called **ASII**: Air-Sea Interaction Instability

*Emanuel, Yano, Raymond (1984-1990)*

Condensation → differential heating → surface wind →  
surface evaporation → condensation.

# Convective concepts

ARPEGE/ALADIN operational scheme is **CIFK**, **CISK** and **WISHE**.



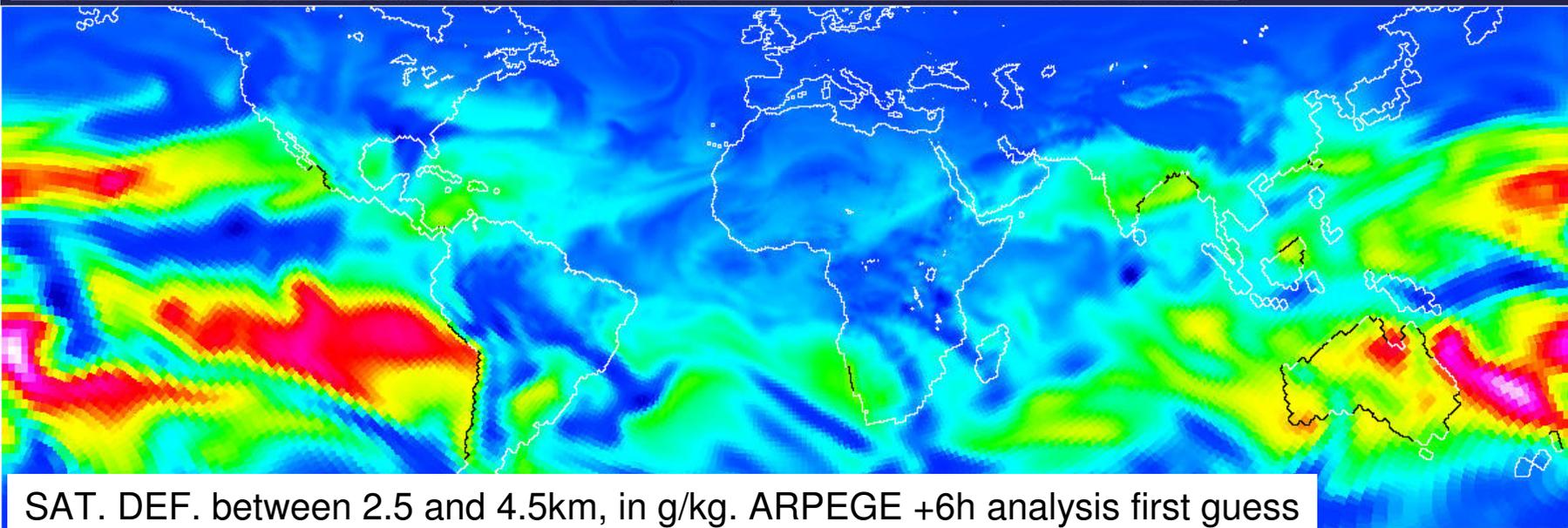
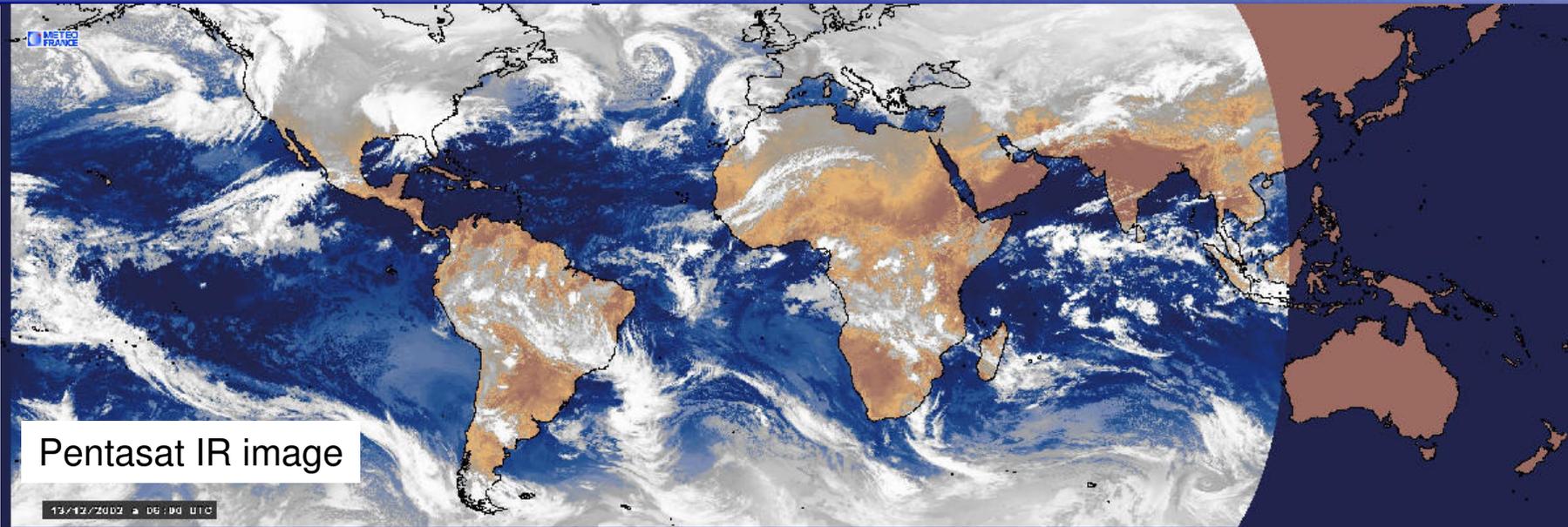
Mass-flux profile is locally proportional to buoyancy, and integrally proportional to available water vapour (dynamics + turbulence) → CIFK, CISK and WISHE processes are all present.

# Convective concepts

More recently in literature: **CIN** (Convective INhibition) and  
mid-tropospheric humidity **SATDEF**... →



# Convective concepts - SATDEF



-2.87      3.05      8.98      14.9      20.8      26.8      32.7

## Convective concepts - SATDEF

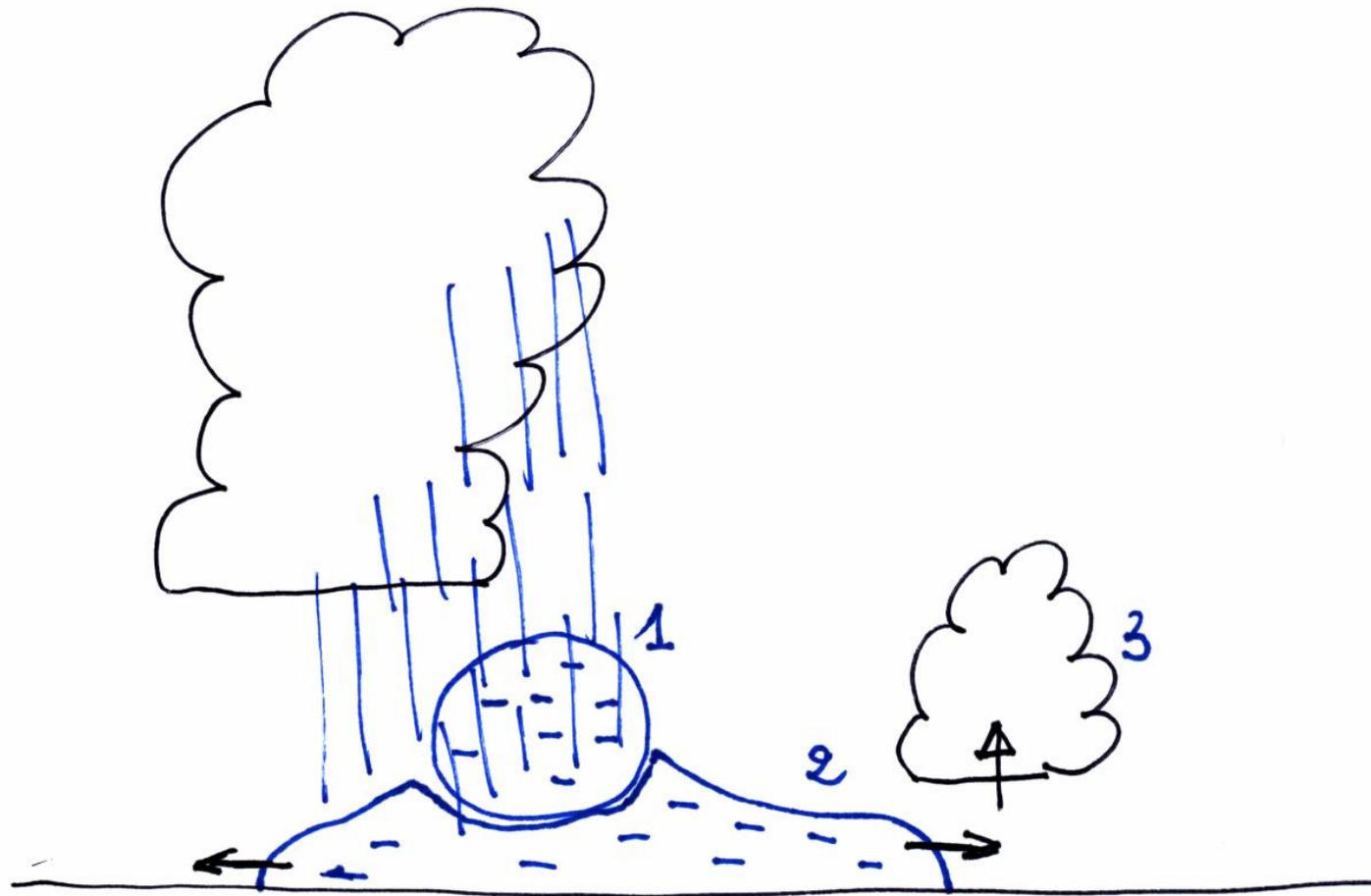
**SATDEF: Saturation Deficit:** « Convection is favoured if mid-tropospheric layers (between 2 and 5 km) are moist ». **Energy source:** less cooling by evaporation inside updrafts!

Plays a role in the diurnal as in the bimodality in the Tropics (dry air intrusions / recovery periods).

*Redelsperger, Parsons, Guichard (2002)*

Moister air in mid-troposphere → less evaporation in updrafts → stronger updrafts → higher top of clouds → moistening of higher layers.

# Convective concepts – cold pools



Convective concepts – cold pools

GOES Project  
NASA-GSFC

**GOES-9**

**Rapid-scan test  
8 am - 8 pm EDT  
July 2, 1995**

**South Florida**

July 13th edition

1995 Jul 2 12:11 UTC



Image: source Larry Di Girolamo, GCSS Workshop New-York, 2006

Larry Di Girolamo, about RICO (Rain in Clouds over Ocean):

1. « Lines along cold pools: 90% of the time. »
  2. « Precipitation closely related to mesoscale organization, along cold pools. »
  3. « Clouds  $\sim$  3 - 4 km contribute most to the total precipitation. »
- Transition from shallow non-precipitating → shallow precipitating → congestus → cumulonimbus: a collective effect of multiple and successive clouds.

## Convective concepts – cold pools

*Cold pools*: « Convective transition from shallow to deep involves a collective cloud mechanism, via uplifting by cold pools. ». **Energy source**: adiabatic lifting by cold pools.

Important role in diurnal cycle (phase-lag) as in the bimodality in the Tropics (dry air intrusions / recovery periods).

*Guichard et al. (2004), Khairoutdinov et Randall (2006)*

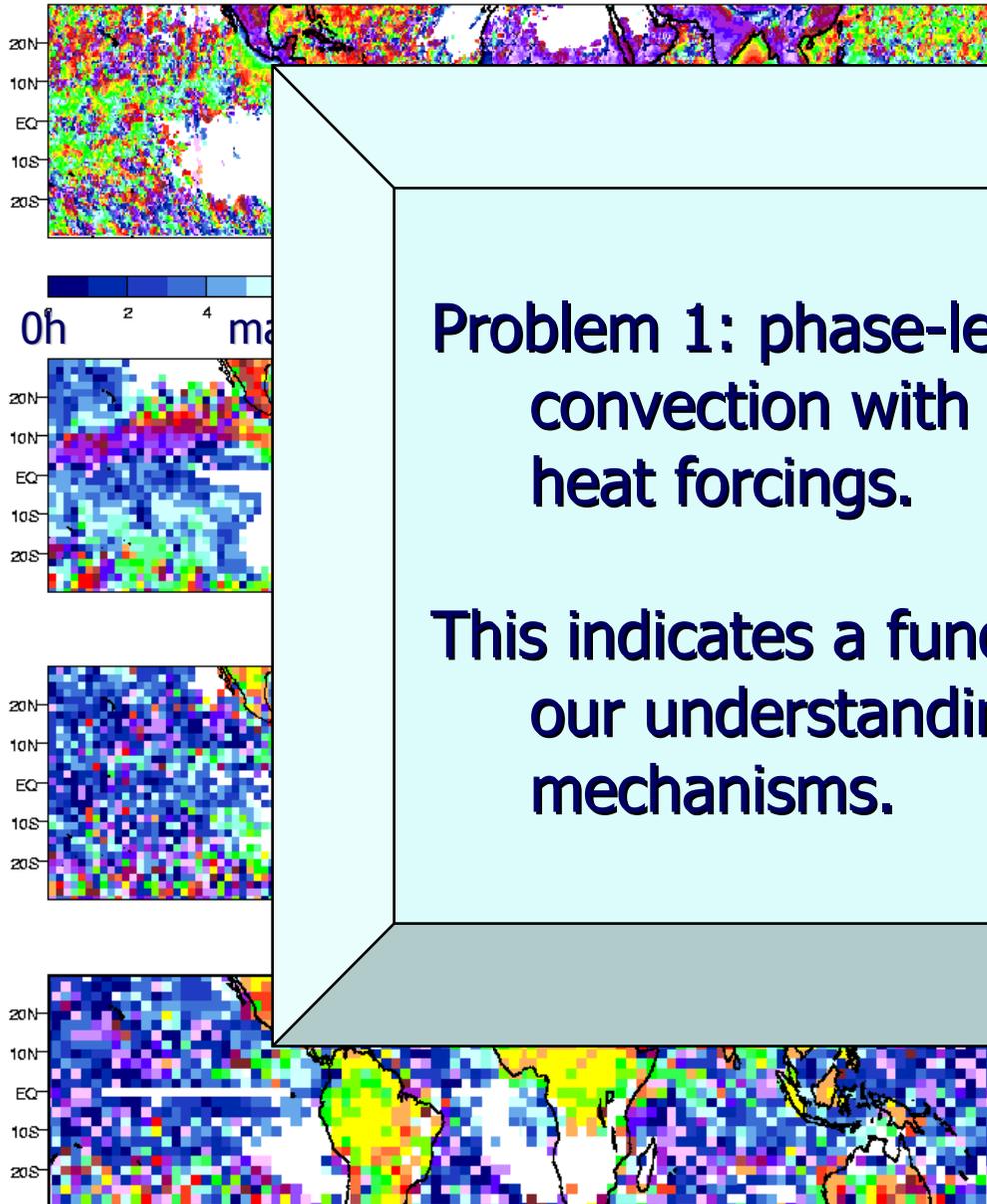
Ascent → precipitation → evaporation outside the cloud  
evaporation → cold pool → density current → new  
and stronger ascent.



**Problems?**

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# Diurnal cycle



**Problem 1: phase-lead of predicted convection with respect to surface heat forcings.**

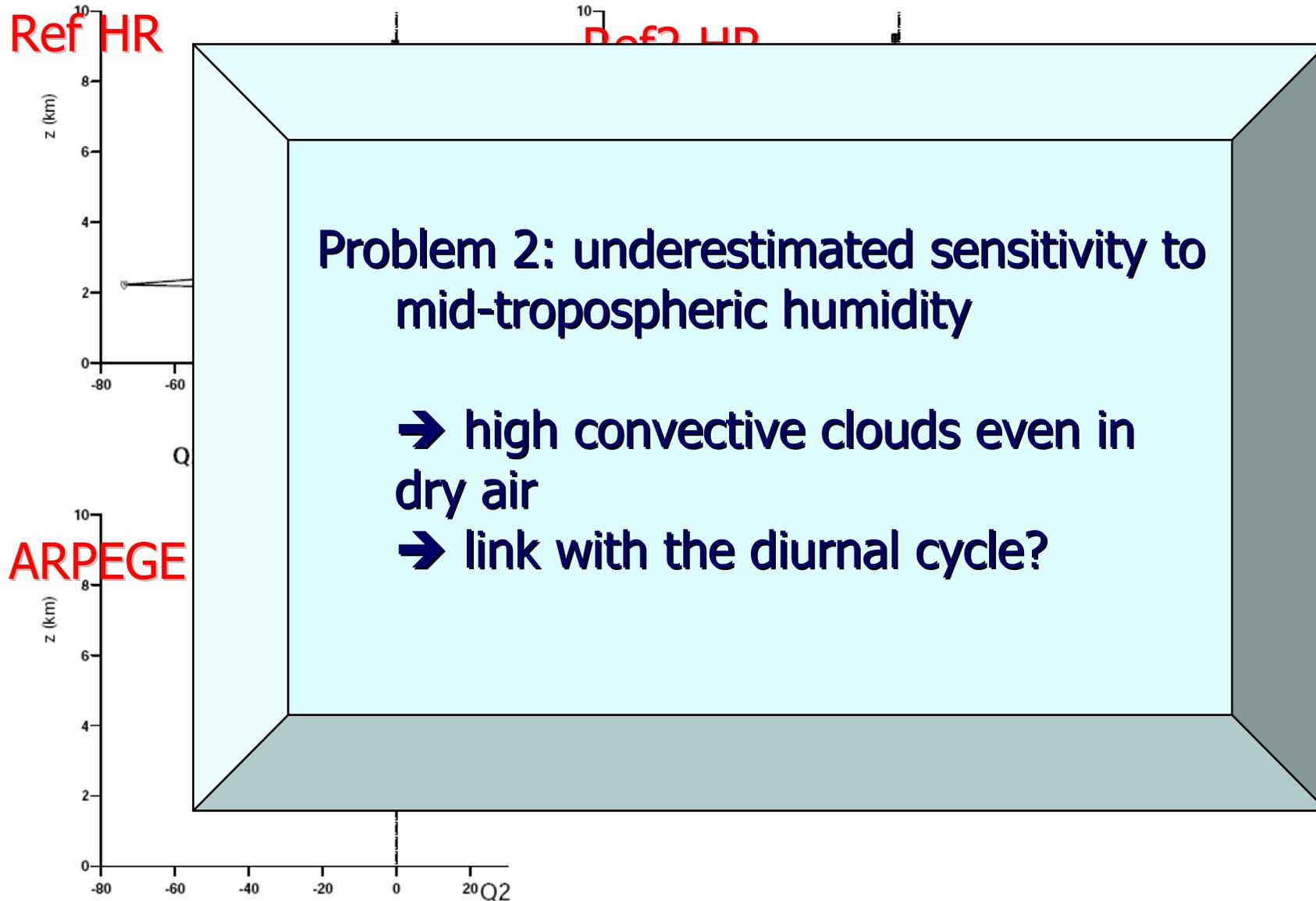
**This indicates a fundamental difficulty in our understanding of the transition mechanisms.**

Unified Climate Model  
Yang and Slingo MWR 2001

# Sensitivity to mid-tropospheric humidity

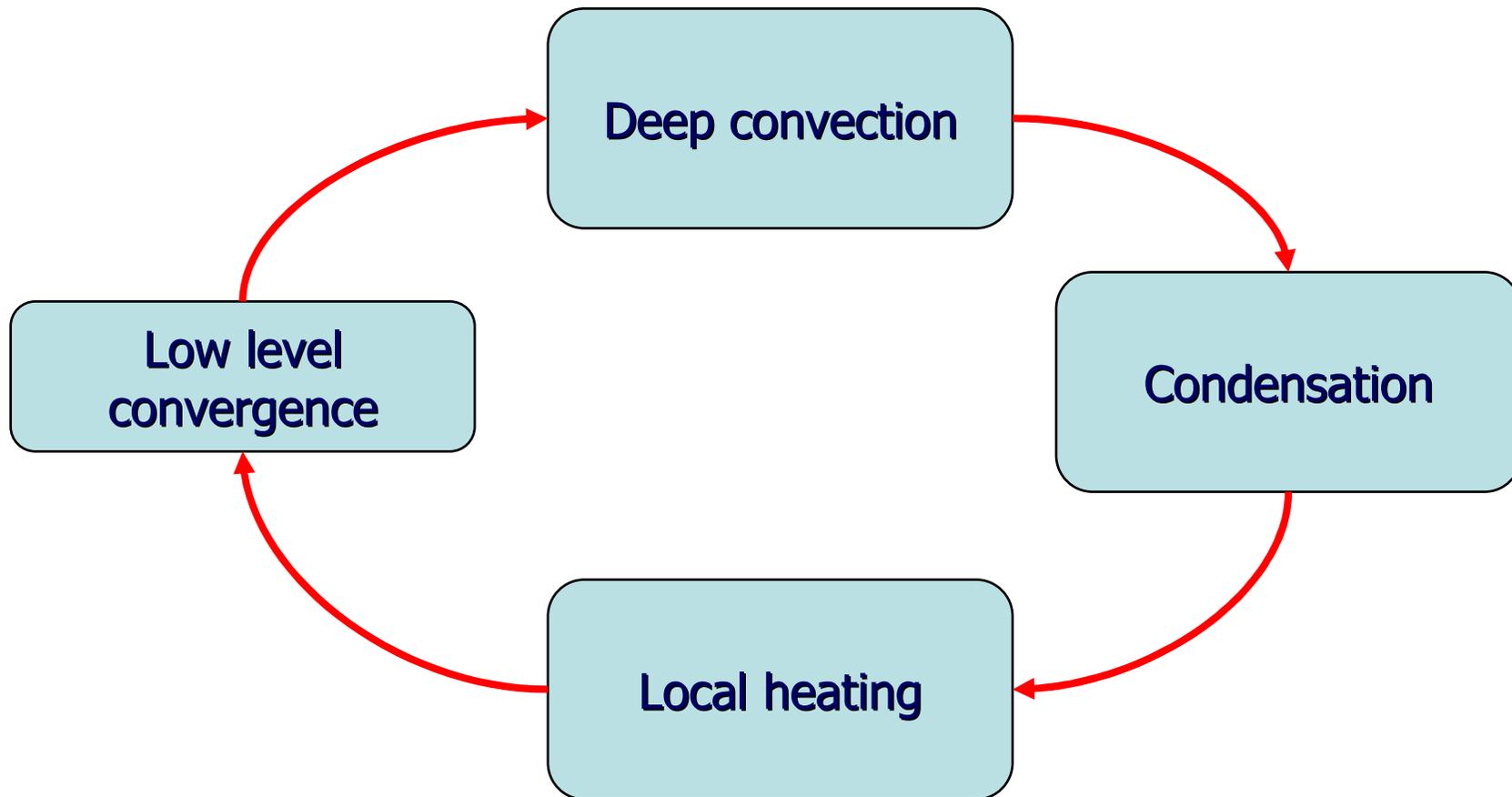
Q2 (K/day), CSRM Meteo-France CNRS

Q2 (K/day), CSRM MetOffice



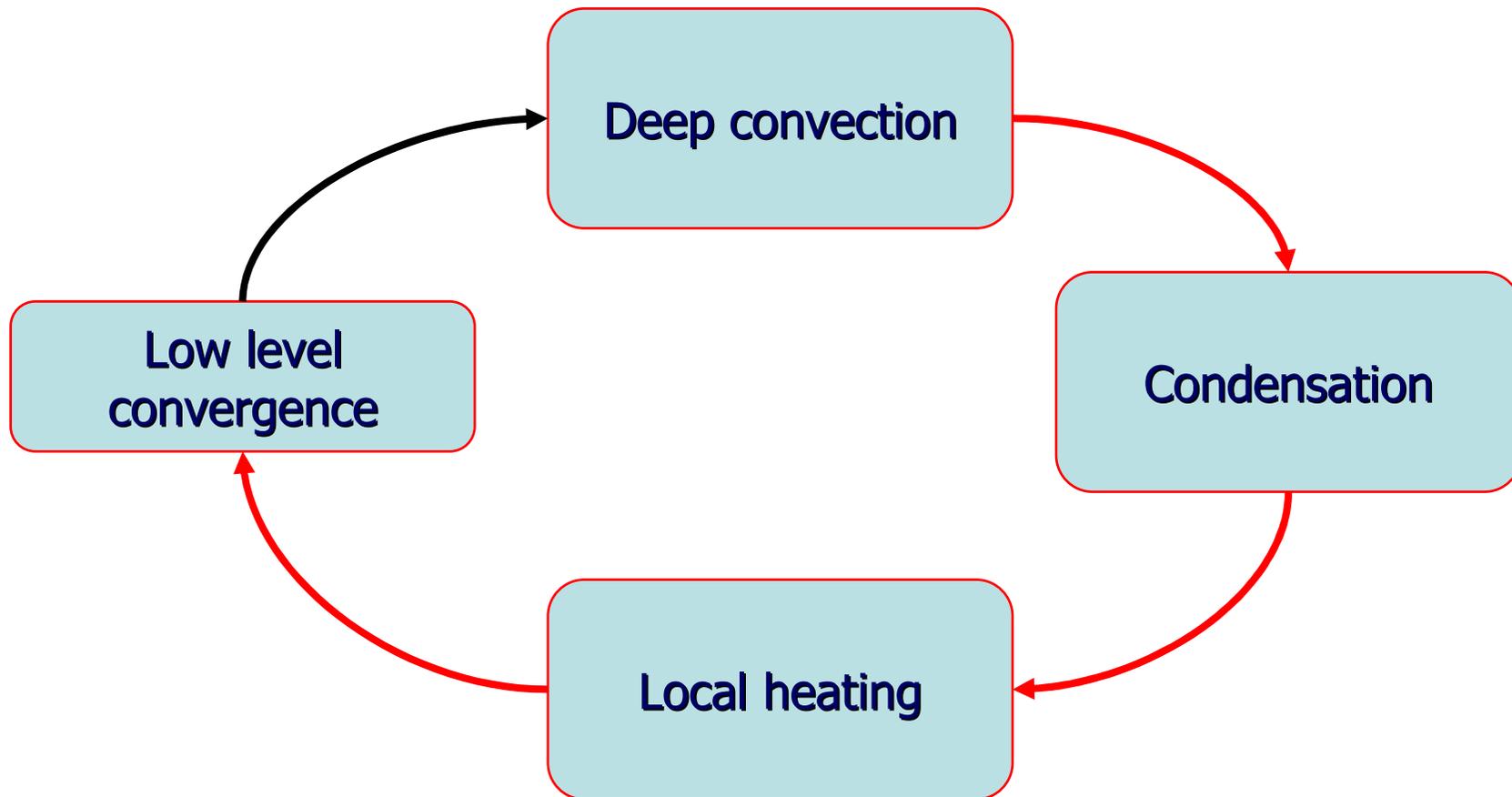
# The CISK mechanism

**CISK** (Convective Instability of the Second Kind) 1960s: instability mechanism:



## The CISK mechanism: a closure?

**CISK: an instability or a closure?** (Kuo 1974, Anthes 1977) CISK as a causality. Convection as a « small scale process ». « CISK school of thinking ».



# The CISK closure: questionable!

- Mapes (1998): simplified model of the equatorial band, to study the tropical response to a local heating. **Local heating → ascent at mesoscale.**
- Mapes (1997): CISK closures are like considering convective clouds as puppets of their own circulations!
- Randall et al. (1997): Cb anvils are convective, ascent at the center of MCS is convective.  
**What is still non-convective in the Tropics?**

## The CISK closure: questionable!

**Problem 3: the CISK instability concept has been converted into a closure, i.e. a causal relation.**

**However, convection should no longer be considered as a small scale process forced by a larger scale and non-convective one!**

## Summarizing problems

1. Phase-lead of the predicted diurnal cycle of convection (and thus too short transitions from shallow to deep).
2. Underestimated sensitivity of convection to mid-tropospheric humidity.
3. Causality problems (even more true at high resolution  $\sim 5$  km): what is non-convective? What part of the resolved circulation is « already » convective? How to define the forcing and the forced processes?

Fin