



# Surface Assimilation using EKF method in Hungary

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# Outline

- Surface assimilations in Hungary
  - AROME-Surfex EKF
  - LDAS (Land Data Assimilation System) in ImagineS project
- EKF data Assimilation
- ImagineS project (2012-2016)
- Validation
  - 1D (against in-situ measurements from Hegyhátsál)
  - 2D (against satellite data)
  - Agricultural utilization, drought indicators

# Main differences between the

## ARPEK-EKF

## LDAS

- Observations (2): SYNOP T2m, Rh2m => gridded information with CANARI
  - Control vectors (4):
    - TG1, TG2, WG1, WG2
  - Works only NATURE tile but only in 1 patch
  - SEKF (B is constant)
  - Surfex 6.0
- ⇒
- Observations (2): Gridded satellite informations (LAI, SSM)
  - Control vectors (3):
    - LAI, WG1, WG2
  - Works only in NATURE tile, but for all patches (max. 12)
  - EKF (B is time dependent)
  - Surfex 7.3

# Observation settings

## AROME EKF

- SYNOP T2m, Rh2m => gridded information with CANARI

### &NACVEG:

- SIGH2MO=0.01 (default: 0.1) - HU2m observation error
- SIGT2MO=0.1 (def.: 1.0) - T2m obs. error

### &NAM\_CANAPE:

- REF\_A\_H2=45000 (def.: 50000) - HU2m observation horizontal scope
- REF\_A\_T2=40000 (def.: 50000) - T2m observation horizontal scope
- REF\_S\_H2=1.0 (def.: 0.3) - HU2m sigma\_o
- REF\_S\_T2=16.0 (def.: 3.0) - T2m sigma\_o

## LDAS

### • Gridded satellite informations (LAI, SSM):

- LAI: SPOT-VEG 1km res. 10 days sampling.
- SWI (Soil Water Index): MetOp. ASCAT 10 km res. 1 day sampling.  
SSM=SWI\*(wmax-wmin)+wmin

Data Assimilation Working Days,  
Bratislava, 30 September - 2 October.

# Extended Kalman Filter Assimilation

$$x_t^a = x_t^b + K(y_t^o - H(x_t^b))$$

$$K = BH^T (HBH^T + R)^{-1}$$

$$A = (I - KH)B$$

$$H = \frac{\partial y_t}{\partial x_0}$$



$$H_{ij} = \frac{\partial y_t}{\partial x_j} \approx \frac{y_t(x + \delta x_j) - y_t(x)}{\delta x_j}$$

- Analysis at time  $t$
- Kalman Gain
- Analysis increment
- **H**: Jacobian matrix of the observation operator (Taylor expansion of  $H$  obs. operator, tangent linear hypothesis)
- The elements of the Jacobian matrix

# Jacobians

## AROME-EKF

## LDAS

- H: model TG1, TG2, WG1, WG2 -> obs. T2m, Rh2m

$$H_j = \frac{y_i(x + \delta x_j) - y_i(x)}{\delta x_j}$$
 H contains the forward model (prognostic Canopy scheme)

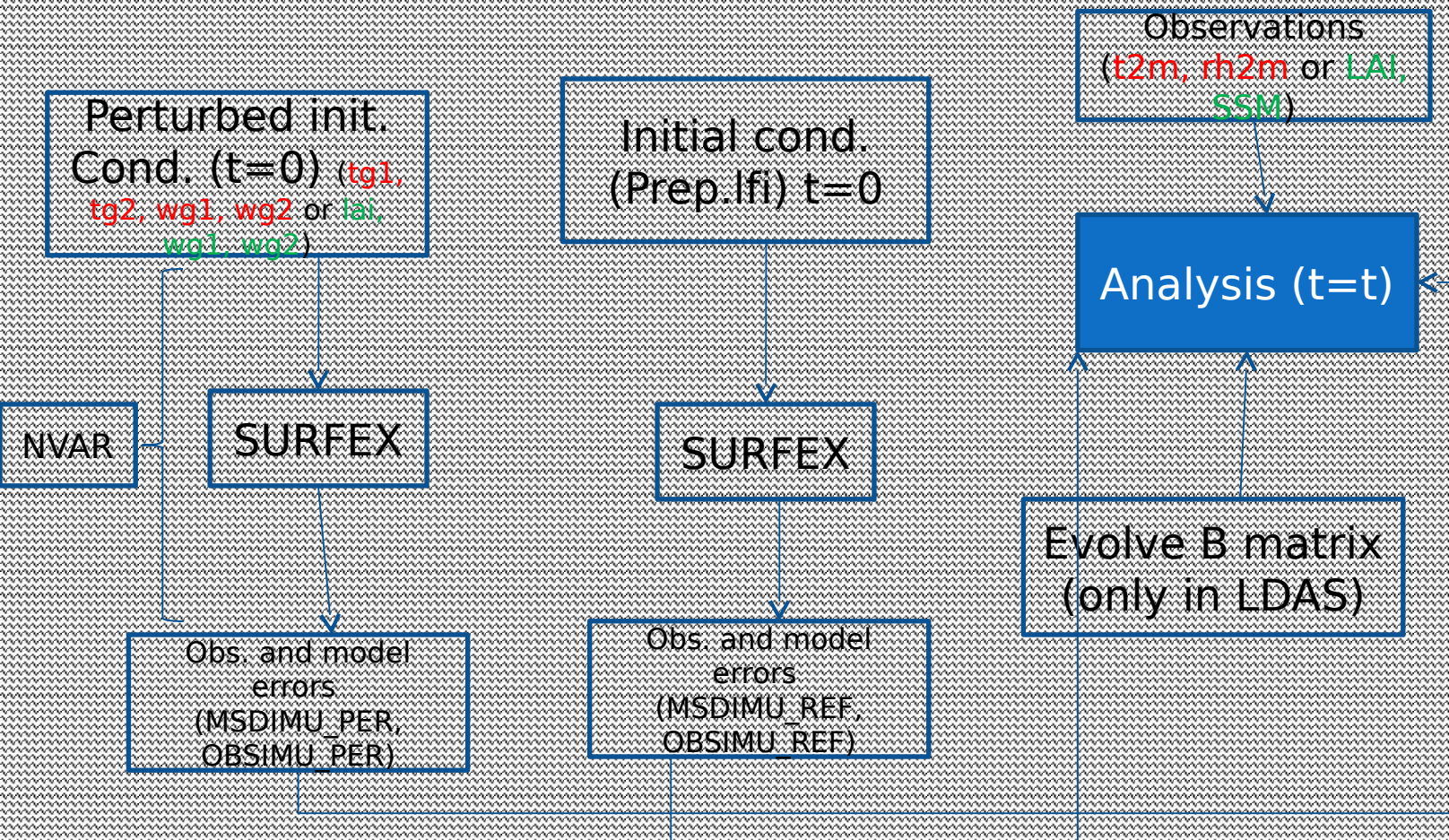
$$H^k = \alpha^k \frac{\partial y_i^k}{\partial x_j} = \alpha^k$$
 H: model LAI, WG1, WG2 > obs. LAI, SSM

$$\frac{\partial y_i}{\partial x_j} = I$$
 H doesn't contain the forward model

If  $y_i = x_j$

Patch fraction

# EKF Flow charts



# Question

- In Arôme EKF the analysis is applied at the end of the assimilation window or at the beginning? (in the flow chart the analysis is applied at the end)



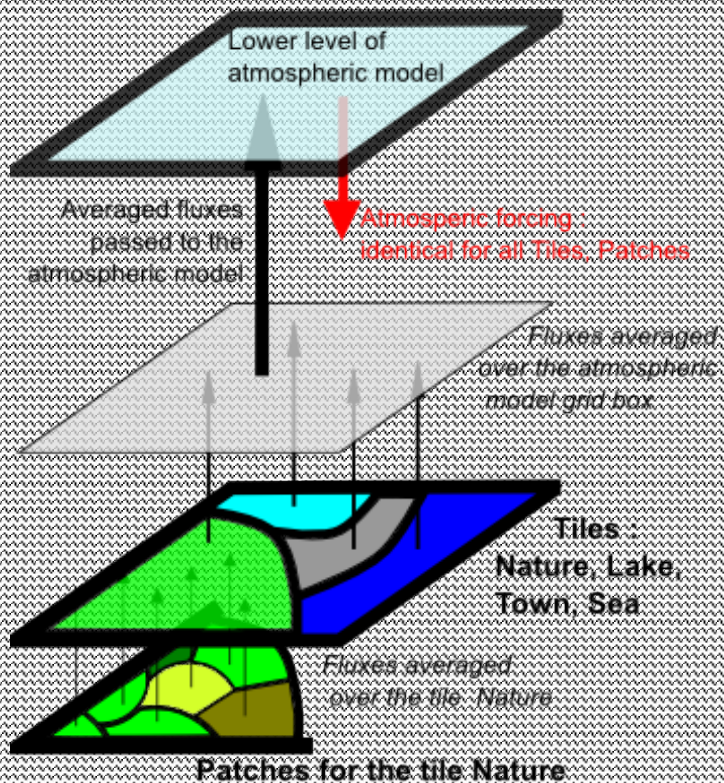
# ImagineS

- Implementation of Multi-scale Agricultural Indicators Exploiting Sentinels
- EU-FP7 project: <http://fp7-imagines.eu>
- Period: 40 month (Nov. 2012. – Febr. 2016. )
- 8 Institutions (Fr, Sp, Be, UK, Hu), From this 2 SME
- Aims:
  - Improve the retrieval of basic biophysical variables coming from PROBA-V and LandSat for Copernicus Global Land Service.
  - Assimilation of these satellite data into Surface model □ monitoring of the evolution of the vegetation and the soil.
  - Demonstrate the added value of this products for the community of users

Data Assimilation Working Days,  
Bratislava 30 September - 2 October

# LDAS in Hungary

## • SURFEX (SURface Externalisée) 7.3



SURFEX tiling and coupling with an atmospheric model

Source: <http://www.cnrm.meteo.fr/surfex/spip.php?rubrique6>

- Each gridbox is represented by 4 surface types: Nature, Lake, Town Sea -> Tiles
- Nature tile is separated 12 patches (grassland, C3, C4 plants, deciduous tree .... etc)
- In nature tile the interaction between the Soil, Atmosphere and Biosphere is described with ISBA + photosynthesis model -> ISBA-A-gs (3 layers Force-Restore scheme)

ECOCCLIMAP II

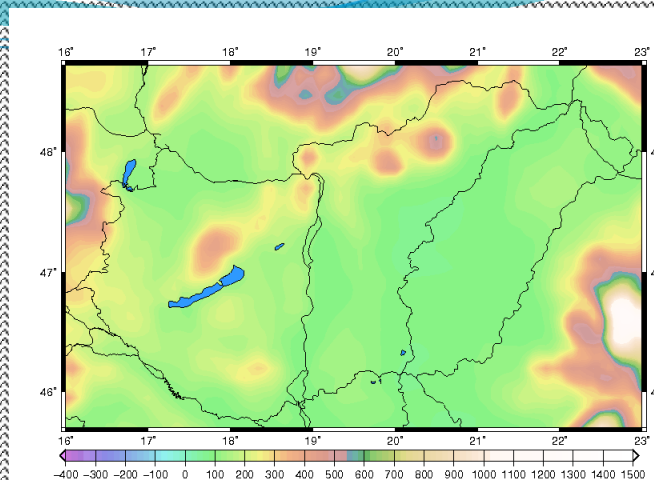
- Surfex was run over Hungary with 8 x 8 km resolution, 24 h forecast with 6 h outputs freq.
- Atmospheric forcings come from ALADIN NWP model (air temperature, humidity, wind speed, precipitation) + LandSAF long and short wave radiation
- Run with offline mode -> no influence to the atmosphere

## OUTPUTS:

- LAI (Leaf Area Index)
- WG2 (Volumetric soil moisture content)
- GPP (Gross Primary Product), NEE (Net Ecosystem Exchange)



Latent



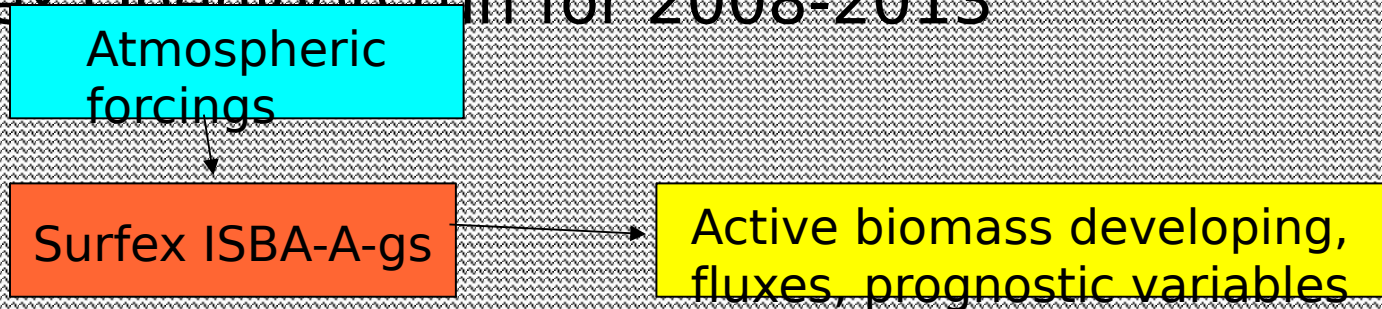
## VALIDATION:

- 1D (against in situ measurements of Hegyhátsál)
- 2D (against satellite)
- agricultural utilization: simm. biomass vs. yield statistics (National measurements, WOFOST crop

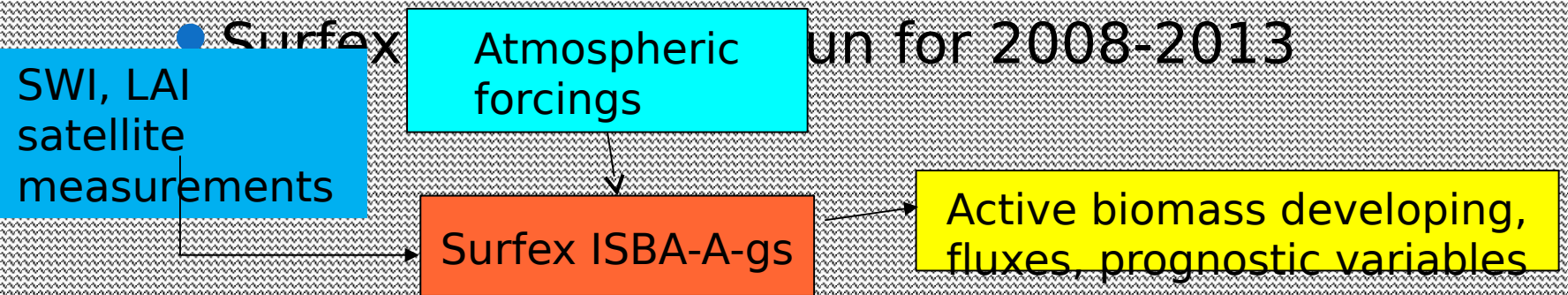
n Working Days, Bratislava, 2 October, 2015 model)

# Model runs

- Surfex Openloop run for 2008-2013



- Surfex Data Assimilation run for 2008-2013



Data Assimilation Working Days,  
Bratislava 30 September - 2 October

# Results (2D)

2010 (wet)

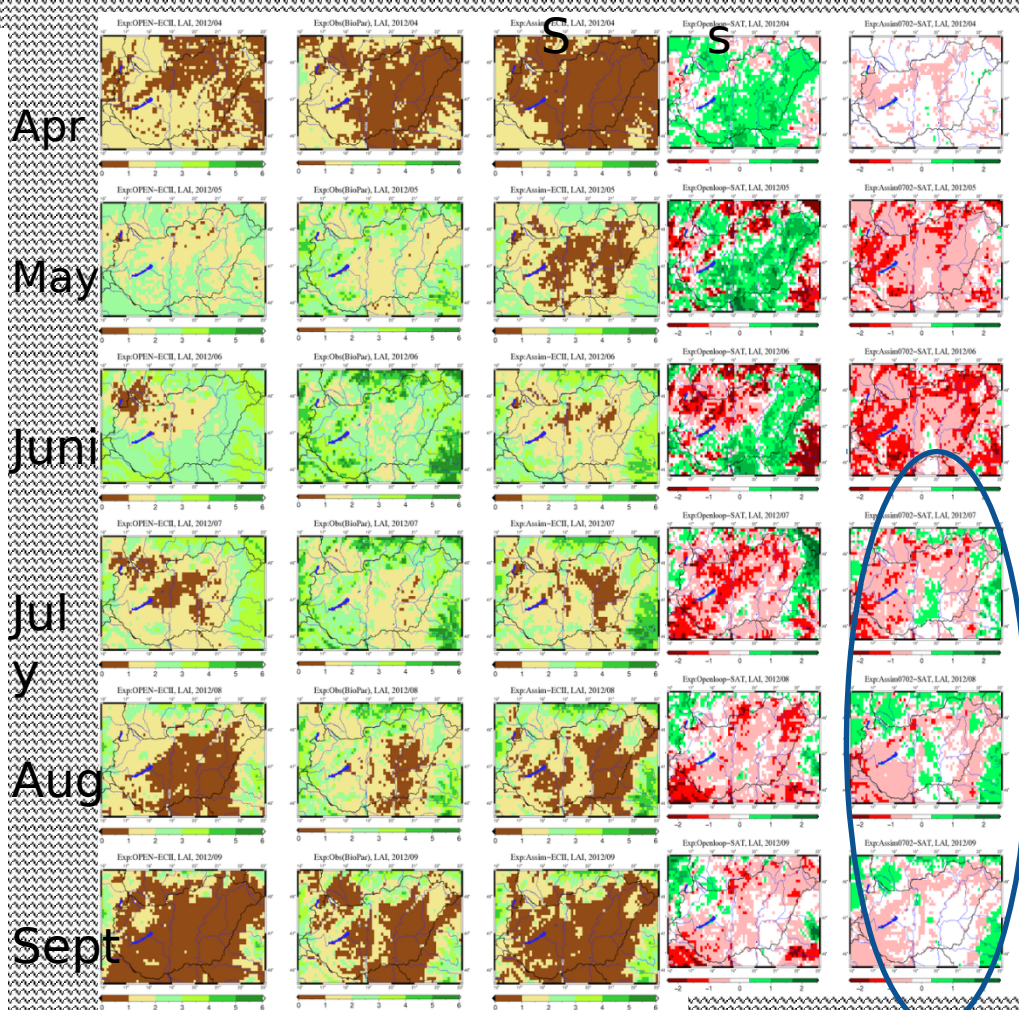
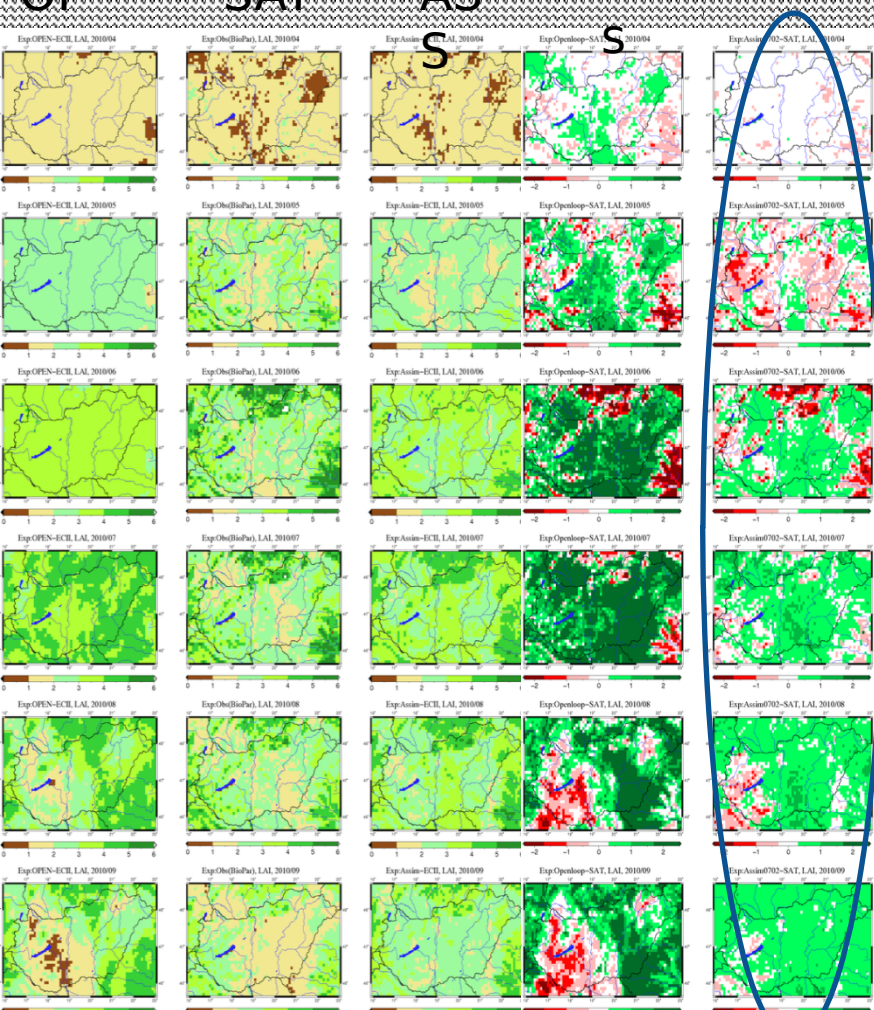
**LAI**

2012

(dry)

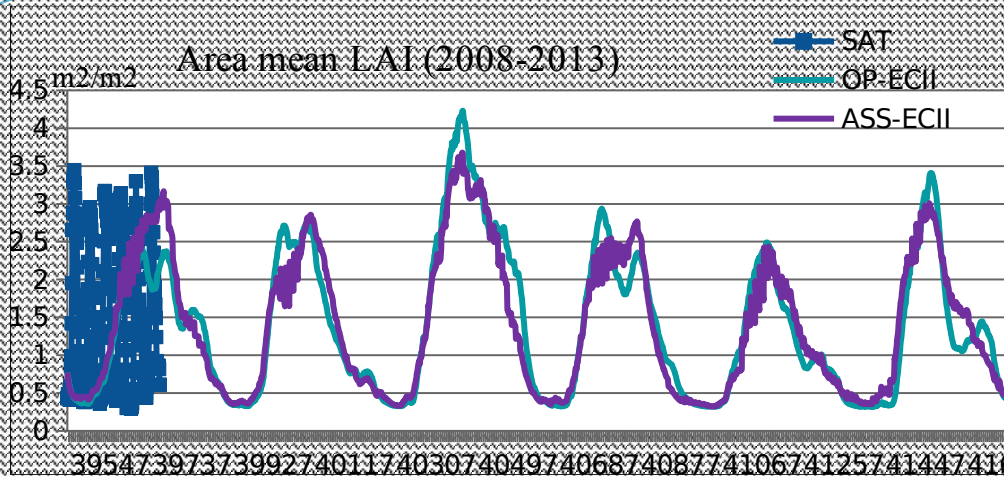
OP SAT AS Difference

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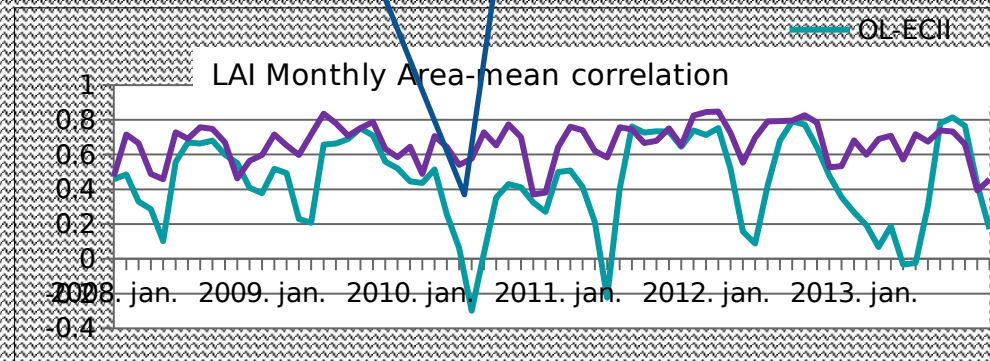
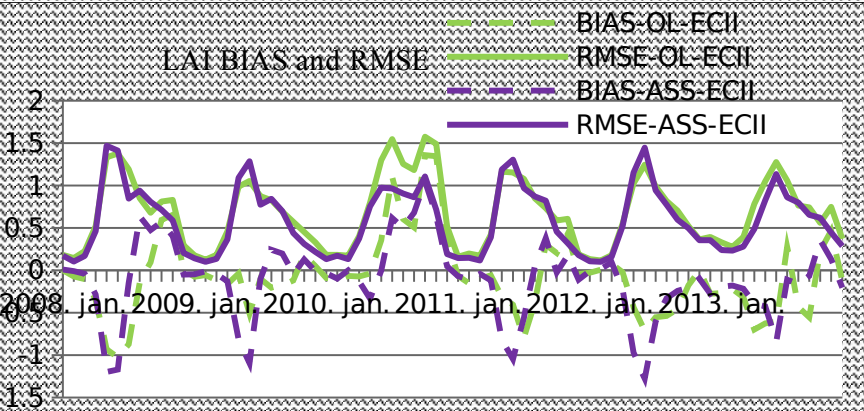


Data Assimilation Working Days, Bratislava,  
30 September - 2 October 2015

# Statistics



Low correlation for OL runs at every spring, early summer period



# Results (1D)

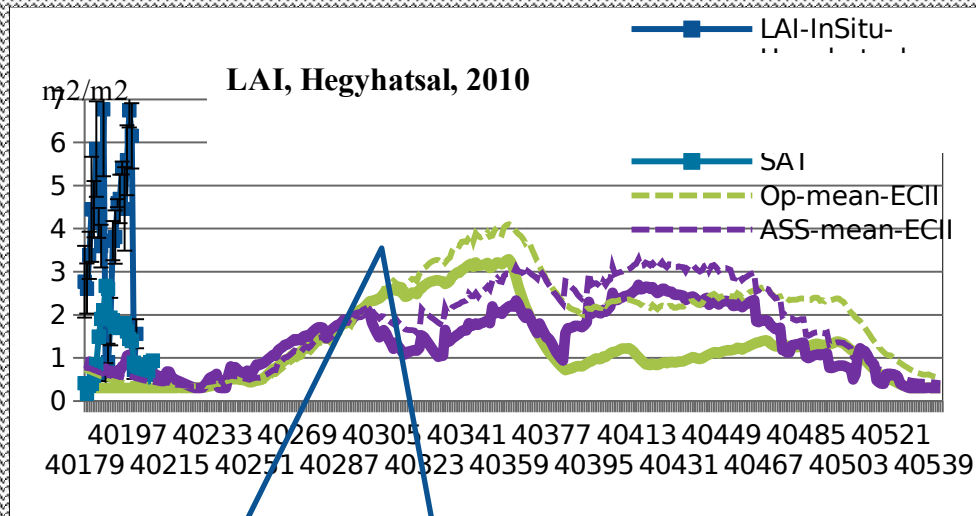
In-situ measurements of Hegyhátsál. Data are available from two levels:

- 3 m height over a grassland area (valid for only the grassland patch):

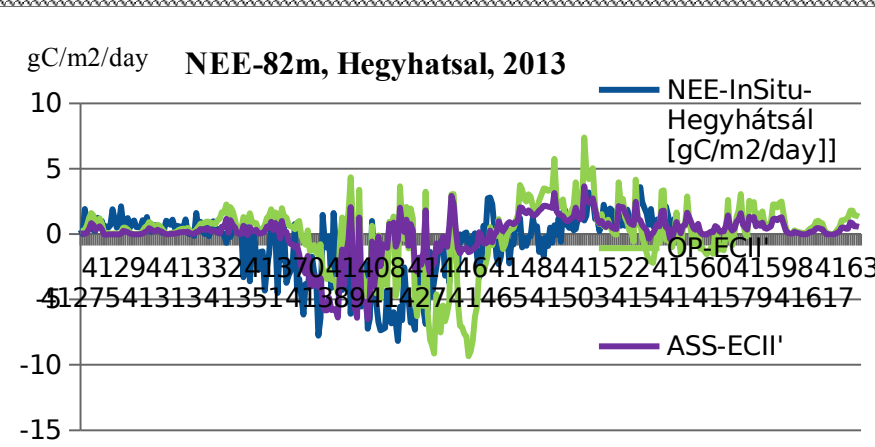
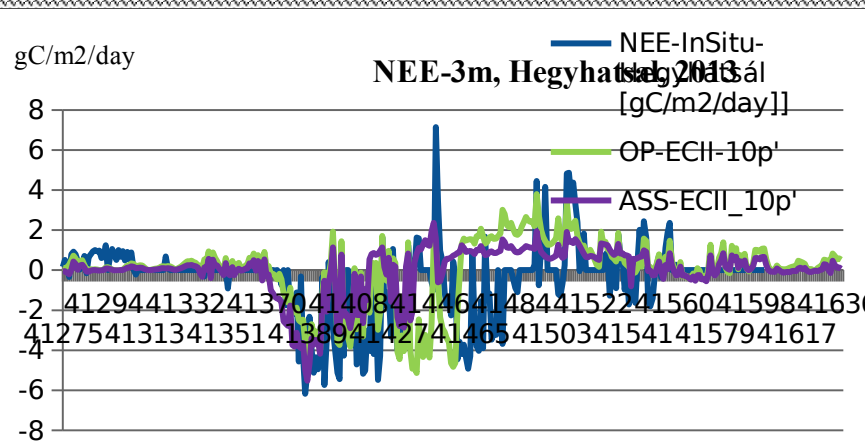
- LAI (weekly)
- Soil Moisture (daily) (derived from 10-30 cm depth)
- Carbon fluxes: GPP, Reco and NEE (daily)
- Water flux: Latent Heat (LE) (daily)

- 82 m height (valid for the whole grid-point):

- Carbon fluxes: GPP and NEE (daily)
- Water flux: LE (daily)



Satellite measurements (red points) are assimilated in LDAS, while the in-situ obs. very different from the sat.

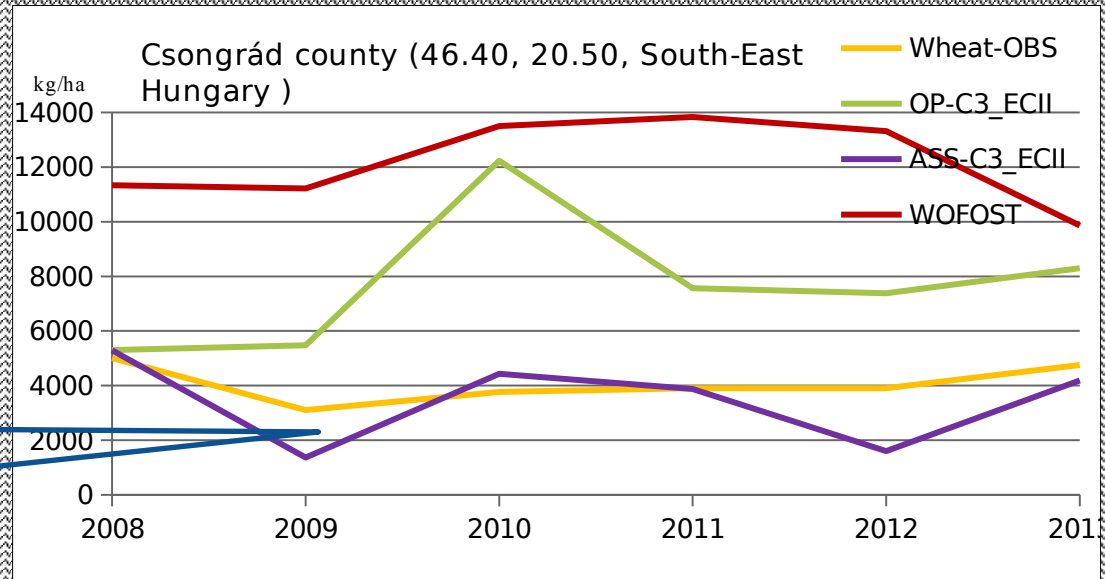


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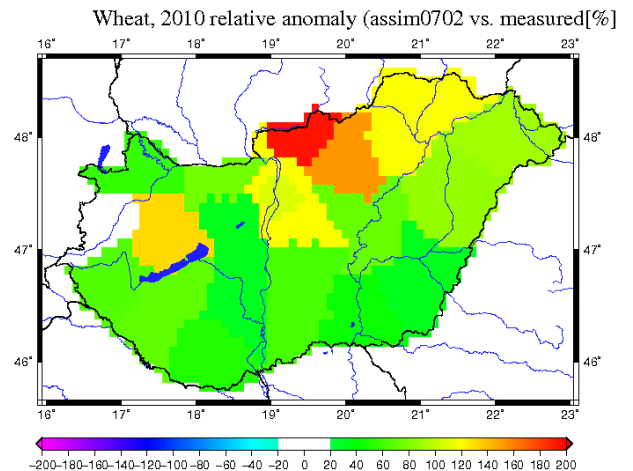
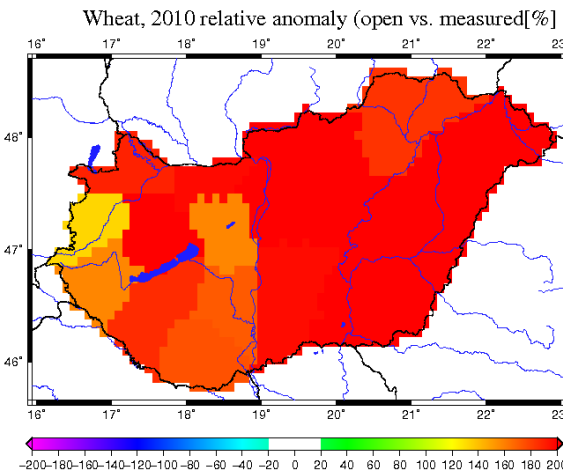
# Crop estimation

Simulated C3 BIOMASS vs. measured yield and vs. WOFOST for 2008-2013

Good agreement between LDAS BIOMASS and yield



Relative anomaly map ((sim-obs)/obs) for a county in Hungary: huge overestimation for Openloop





# Drought indicators

- Step 1: Scaled anomalies for 10-day period (LAI and SWI)

$$AnoLAI(i, yr) = \frac{DLAI(i, yr)}{stdev(DLAI(i))}$$

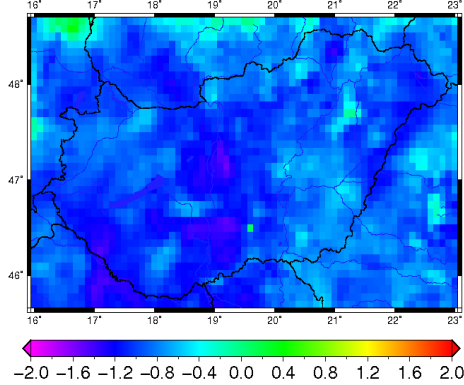
Where, DLAI is the diff. Between LAI for particular month or 10-day period of year (yr) and its average of interannual value. stdevDLAI is the standard deviation of DLAI

- Step 2: provide the complementary of LAI and SWI => useful tool as a drought indicators

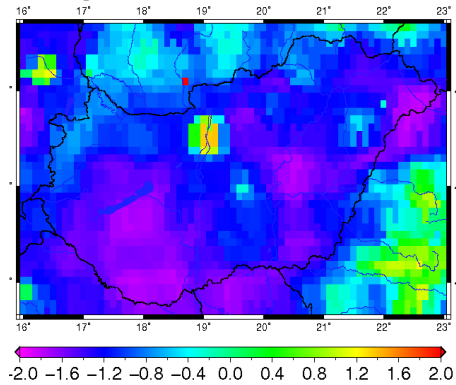
# Drought in Hungary in 2012 August

AnoSWI for 1-10. 08. 2012 => AnoLAI 11-

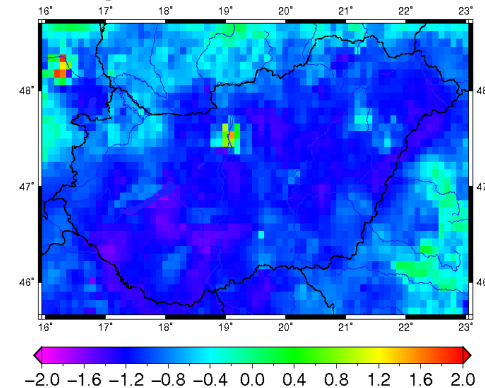
Exp:open, AnoSWI, 2012/08 01-11



Exp:Obs(SAT), AnoSWI, 2012/08 01-11

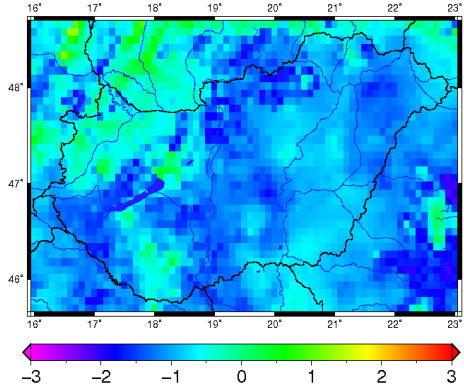


Exp:assim0702, AnoSWI, 2012/08 01-11

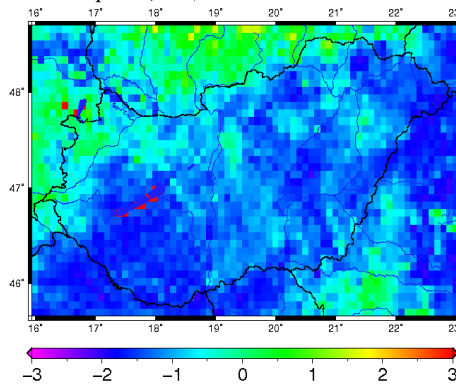


AnoSWI could be a good prior to conclude the trend of LAI

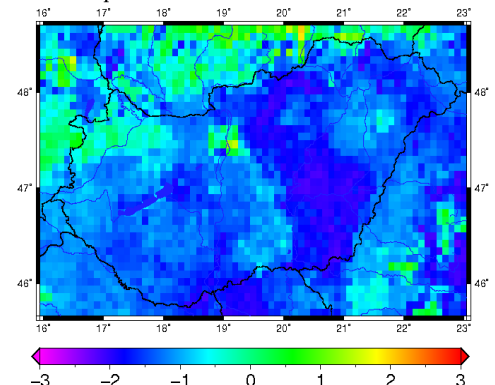
Exp:open, AnoLAI, 2012/08 11-21



Exp:Obs(SAT), AnoLAI, 2012/08 11-21



Exp:assim0702, AnoLAI, 2012/08 11-21



# ImagineS Plans

- Assimilation of PROBA-V LAI for 2014-2015
- Mini workshop for end-users
- Drought indicators (SWI and LAI anomalies )